

10/594280

THE COMMISSIONER IS AUTHORIZED  
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FEES FOR THIS PAPER TO DEPOSIT  
ACCOUNT NO. 23-0975

IAP01 Rec'd PCT/PTO 26 SEP 2006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of :  
Yoshinori MATSUI et al. : **Mail Stop: PCT**  
Serial No. NEW : Attorney Docket No. 2006\_1540A  
Filed September 26, 2006 :

INFORMATION RECORDING MEDIUM,  
MULTIPLEXER, AND DECODER  
[Corresponding to PCT/JP2005/015677  
Filed August 29, 2005]

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Pursuant to the provisions of 37 CFR 1.56, 1.97 and 1.98, Applicants request consideration of the references listed on attached form PTO-1449 and any additional information identified below in paragraph 3. A legible copy of each reference listed on the Form PTO-1449 is enclosed, except a copy is not provided for:

- ☒ each U.S. Patent and U.S. Patent application publication;
- ☐ each reference previously cited in the international application  
PCT/\_\_\_\_\_; and/or
- ☐ each reference previously cited in prior parent application Serial No.  
\_\_\_\_\_.

1a. ☒ This Information Disclosure Statement is submitted:

within three months of the filing date (or of entry into the National Stage) of the  
above-entitled application, or

ATTACHMENT D

before the mailing of a first Office Action on the merits or the mailing of a first Office Action after the filing of an RCE,

**and thus no certification and/or fee is required.**

1b. ☐ This Information Disclosure Statement is submitted

after the events of above paragraph 1a and prior to the mailing date of a final Office Action or a Notice of Allowance or an action which otherwise closes prosecution in the application, and thus:

(1) ☐ the certification of paragraph 2 below is provided, **or**

(2) ☐ the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.

1c. ☐ This Information Disclosure Statement is submitted:

after the mailing date of a final Office Action or Notice of Allowance or action which otherwise closes prosecution in the application, and prior to payment of the issue fee, and thus:

**the certification of paragraph 2 below is provided, and**

**the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.**

2. It is hereby certified

a. ☐ that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Statement, or

b. ☐ that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of the Statement.

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3. ☐ Consideration of the following list of additional information (including any copending or abandoned U.S. application, prior uses and/or sales, etc.) is requested.
4. For each non-English language reference listed on the attached form PTO-1449, reference is made to:
- a. ☒ a full or partial English language translation submitted herewith,
  - b. ☒ the International Search Report (in the English language) submitted herewith,
  - c. ☒ the concise explanation contained in the specification of the present application at page 3,
  - d. ☒ the concise explanation set forth in the attached English language abstract,
  - e. ☒ the concise explanation set forth below or on a separate sheet attached to the reference:
- JP 2000-504181 corresponds to WO 1997/028652 and U.S. 6,172,988; and  
JP 2002-149457 corresponds to EP 1 174 878 and U.S. 2002/0059277.
5. ☐ A foreign patent office search report citing one or more of the references is enclosed.

Respectfully submitted,

Yoshinori MATSUI et al.

By 

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## INFORMATION DISCLOSURE STATEMENT

FORM PTO 1449 (modified)

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICELIST OF REFERENCES CITED BY APPLICANT(S)  
(Use several sheets if necessary)

Date Submitted to PTO: September 26, 2006

ATTY DOCKET NO.  
2006\_1540ASERIAL NO.  
NEWAPPLICANT  
Yoshinori MATSUI et al.FILING DATE  
September 26, 2006

GROUP

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	6,172,988	1/2001	Tiernan et. al.			
	AB	2002/0059277	5/2002	Winter			
	AC						

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO	
	AD	2004-135170	4/2004	JP			Abstract	
	AE	2003-333489	11/2003	JP			Abstract	
	AF	2000-504181	4/2000	JP				
	AG	97/28652	8/1997	WO				
	AH	2002/149457	5/2002	JP			Abstract & Translation	
	AI	1 174 878	1/2002	EP				
	AJ	07-201138	8/1995	JP			Abstract	
	AK	2001-169247	6/2001	JP			Abstract	
	AL							
	AM							

## OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

	AN	ETSI EN 300 468 v.1.5.1 (5/2003) Digital Video Broadcasting (DVB); Specification for Service Information (SI) in DVB systems, pp. 26-69
	AO	"ITU-T White Book Audio Visual/Multimedia Kanren (H Series) Kankokushu", Zaidan Hojin Shinnippon ITU Kyokai, Heisei 7 Nen 2 Gatsu 18 Nichi, pp. 134-150 with translation
	AP	

EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include cop. this form with next communication to applicant.